

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Michael J. Ottati

Serial No. TBA

Filing Date: Herewith

Confirmation No.: TBA

Examiner: TBA

HP Docket No. 200301218-3

TKHR Docket No. 050834-1401

Group Art Unit: TBA

For: **METHOD AND APPARATUS FOR A SERVLET SERVER CLASS**

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98. Listed on the accompanying form PTO-1449 are documents which may or may not be considered material to the examination of this application. These documents were made of record in a prior application filed July 14, 1998 and having serial no. 09/115,402, to which priority is claimed relative to the present application pursuant to 35 U.S.C. §120. Copies of these documents are not being provided pursuant to 37 C.F.R. § 1.98(d)(1) and (2), which provides:

(d) A copy of any patent, publication, pending U.S. application or other information, as specified in paragraph (a) of this section, listed in an information disclosure statement is required to be provided, even if the patent, publication, pending U.S. application or other information was previously submitted to, or cited by, the Office in an earlier application, unless:

(1) The application is properly identified in the information disclosure statement and is relied on for an earlier effective filing date under 35 U.S.C. §120; and

(2) The information disclosure statement submitted in the earlier application complies with paragraphs (a) through (c) of this section.

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully submitted,

**THOMAS, KAYDEN, HORSTEMEYER
& RISLEY, L.L.P.**

By:



Raymond W. Armentrout
Reg. No. 45,866

FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
	200301218-3		
	APPLICANT		
	Ottati, Michael J.		
	FILING DATE	GROUP	
	Herewith		

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	5,201,049	4/1993	Shorter	
	1B	6,256,637	7/2001	Venkatesh et al.	
	1C	6,374,286	4/2002	Gee et al.	
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	Web article "The Java Language Specification," by James Gosling, Bill Joy and Guy Steele (First Ed., converted from the printed book, August 1996, first printing), HTML generated by Suzette Pelouch on April 3, 1998, copyright 1996 Sun Microsystems, Inc., URL= http://java.sun.com/docs/books/jls/html/index.html , 24 pages.
1R	Web article "Object-Oriented Programming Concepts," http://java.sun.com/docs/books/tutorial/java/concepts/index.html , 2 pages, printed from Internet 7/20/98, a link from "The Java Tutorial: A practical guide for programmers, URL= http://java.sun.com/docs/books/tutorial/index.html , The Summer Solstice Release, last updated 6/26/98, 1 page.
1S	Web article "Inside the Java Web Server: An Overview of Java Web Server 1.0, Java Servlets, and the JavaServer Architecture," by Phil Inje Chang, last updated 6/24/98, copyright 1995-98 Sun Microsystems, Inc., URL= http://java.sun.com/features/1997/aug/jws1.html , 9 pages.

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	1L					
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	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1T	Web article "The Java Servlet API," copyright 1997 Sun Microsystems, Inc., URL= http://jserv.javasoft.com/products/java-server/documentation/webserver1.1/servlets/api.html , 10 pages.
1U	Web article "Servlet Tutorial," copyright 1995-97 Sun Microsystems, Inc., URL= http://www.javasoft.com/products/jdk/1.2/docs/ext/servlet/servlet_tutorial.html , 13 pages.
1V	Web article "Introduction to Servlets," copyright 1997 Sun Microsystems, Inc., URL= http://jserv.javasoft.com/products/java-server/documentation/webserver1.1/servlets/intro.html , 2 pages.

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	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1W	C. Berg, "How Do I Write a Java Servlet?", Dr. Dobb's Journal, Oct. 1997, pp. 121-131

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DATE CONSIDERED